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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of:

Haynes, Joel R.

Wonderling, Ramani S.

Stinchcomb, Dan T.

Serial No.: 09/830,221

Filed: April 23, 2001

Atty. File No.: DE-3-C2-PUS (HKZ-034CPUS)

For: "CATIONIC LIPID-MEDIATED

ENHANCEMENT OF NUCLEIC ACID IMMUNIZATION OF CATS"

Box DD Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Pursuant to Applicants' duty of disclosure under 37 CFR § 1.56 and 37 CFR §§ 1.97-1.98, Applicants hereby provide a copy of each of the documents identified on the enclosed Form PTO-1449, although Applicants do not admit that any of such documents, alone or in any combination, is considered to be material to patentability as defined in 37 CFR § 1.56(b). Moreover, the inclusion of these documents is not to be construed as an admission by Applicants that any of the documents is prior art with respect to the above-identified patent application.

Respectfully submitted,

Dated: November _____, 2001

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Examiner:

INFORMATION DISCLOSURE
STATEMENT

CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS COMMISSIONER FOR PATENTS, WASHINGTON, DC 20231, ON THIS DAY OF NOVEMBER 2001.

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Susan A. Gordon